

Our Ref.: MTH-49-DIV.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
TATEIWA et al : Examiner: M. Andrews  
Serial No.: :  
Filed: Concurrently Herewith : Group: 1742  
For: PROCESS...SECONDARY BATTERIES:  
600 Third Avenue  
New York, NY 10016  
March 4, 2002

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

S i r:

Please amend this application as follows:

IN THE SPECIFICATION:

Page 1, before line 1, insert

--PRIOR APPLICATIONS

This application is a division of U.S. Patent Application  
Serial No. 09/581,696 filed June 15, 2000 which is a 371 of  
PCT/JP99/05830 filed October 22, 1999.--

IN THE CLAIMS:

Claim 2 (amended) A system for recovering valent metals from  
refuse secondary batteries comprising a separating means to  
mechanically separate the refuse secondary batteries and to  
separate them into a separated cathode material and a separated

anode material, and a means to recover valent metals from the separated cathode material and the separated anode material obtained by the separating means.

Claim 10 (amended) The recovering system for valent metals from refuse secondary batteries of claim 2, wherein the separating means comprises a cooling device to refrigerate the refuse secondary batteries with liquid nitrogen and a crushing device to crush the refrigerated refuse secondary batteries to thereby recover the valent metals from the crushed material.

Claim 17 (amended) The recovering system for valent metals from refuse secondary batteries of claim 2, wherein the system includes a fusing means to add calcium to the separated cathode material separated by the separating means and to fuse it to recover the valent metals.

Claim 22 (amended) The recovering system for valent metals from refuse secondary batteries of claim 2, wherein the system includes an oxidizing means to oxidize the cathode material separated by the separating means at a temperature lower than 300°C for recovering the valent metals.

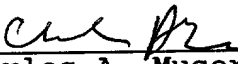
Claim 33 (amended) The recovering system for valent metals from refuse secondary batteries of claim 2, wherein the system includes a reduction fusing device to reduce and fuse the separated

cathode material separated by the separating device after adding either carbon or plastic to the cathode material and a decarbonizing device to heat the separated cathode material under an oxidized atmosphere and to oxidize and remove carbon contained in the valent metals either prior or following the reduction fusing process, thereby recovering the valent metals.

REMARKS

The amendment is made to insert reference to the parent applications and to conform the claims to the American practice.

Respectfully submitted,  
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CAM:sd

Enclosures: Marked-Up Version of Specification and Claims  
Return Receipt Postcard

Our Ref.: MTH-49-DIV  
MARKED-UP VERSION OF SPECIFICATION  
SPECIFICATION

Process and System for Recovering Valent Metals from Refuse

Secondary Batteries

--PRIOR APPLICATIONS

This application is a division of U.S. Patent Application Serial No. 09/581,696 filed June 15, 2000 which is a 371 of PCT/JP99/05830 filed October 22, 1999.--

Field of Invention

The present invention is related to a process for recovering valent metals from refuse secondary batteries, and more specifically to a process and a system for recovering valent metals in a state of high purity from refuse secondary batteries, which are safe, simple, and economically-advantageous.

Background Art

In the past, for secondary batteries used for electric cars, lithium ion secondary battery, nickel-hydrogen secondary battery, nickel-cadmium secondary battery, lead storage cell and the like have been recommended. However, lithium ion secondary battery and nickel-hydrogen battery are considered as promising ones in view of high power density, long durability and high energy density particularly when required for electric cars.

When using these batteries, great amount of refuse secondary batteries, which contain great amount of valent metals, such as hydrogen-occluded alloy (cathode active substance), nickel and cadmium, are resulted, thus requiring recycling process to recover these valent metals from such refuse secondary batteries (dead batteries).

MARKED-UP VERSION OF CLAIMS

What is claimed is:

1. A process for recovering valent metals from refuse secondary batteries, ~~characterized in that the process~~ <sup>comprising refrigerating the refuse secondary batteries, crushing the said refrigerated batteries,</sup> ~~is constituted by a separating process to mechanically separate the refuse secondary batteries and to separate them into a separated cathode material and a separated anode material, and a process to recover valent metals from the separated cathode material and the separated anode material obtained in the separating process.~~ <sup>ing</sup>

2. A system for recovering valent metals from refuse secondary batteries, ~~characterized in that~~ <sup>ing</sup> ~~system comprises a separating means to mechanically separate the refuse secondary batteries and to separate them into a separated cathode material and a separated anode material, and a means to recover valent metals from the separated cathode material and the separated anode material obtained by the separating means.~~

3. The recovering process for valent metals from refuse secondary batteries according to claim 1, characterized that the process comprises a cooling means to refrigerate the refuse secondary batteries with liquid nitrogen and a first crushing process to crush the refrigerated refuse secondary batteries to thereby recover the valent metals from the crushed batteries.

4. The recovering process for valent metals from refuse secondary batteries according to claim 1, characterized in that the process comprises a water rinsing process to

rinse the crushed material obtained in the crushing process and the first sieving process to carry out the first sieving.

5. The recovering process for valent metals from refuse secondary batteries according to claim 4, characterized in that the process comprises a second sieving process to sieve the material remained over a sieve in the first sieving process, a second crushing process to crush the material remained over a sieve in the first sieving process and a third sieving process to sieve the crushed material obtained in the second crushing process.
6. The recovering process for valent metals from refuse secondary batteries according to claim 5, characterized in that the material sieved down in the second sieving process is mainly consisted of the separated cathode material.
7. The recovering process for valent metals from refuse secondary batteries according to claim 6, characterized in that the separated cathode material is mainly consisted of hydrogen-occluded alloy.
8. The recovering process for valent metals from refuse secondary batteries according to claim 5, characterized in that the process comprises a rubbing process to rub a mixture of a component remained over a sieve in the second sieving process and a component sieved down in the third sieving process, and a wet magnetic separation process to magnetically separate the crushed-material

under wet condition following to subjecting it to the rubbing process.

9. The recovering process for valent metals from refuse secondary batteries according to claim 8, characterized in that the component remained over a sieve in the third sieving process is mainly consisted of iron scrap, the magnetic component obtained in the wet magnetic separation process is mainly consisted of spumous nickel, and the non-magnetic component is mainly consisted of nickel hydroxide.

10. The recovering system for valent metals from refuse secondary batteries <sup>of</sup> according to claim 2, <sup>wherein</sup> characterized <sup>device</sup> in that the separating means comprises a cooling <sup>means</sup> to refrigerate the refuse secondary batteries with liquid nitrogen and a crushing <sup>device</sup> means to crush the refrigerated refuse secondary batteries to thereby recover the valent metals from the crushed material.

11. The recovering process for valent metals from refuse secondary batteries according to claim 1, characterized in that the process further contains a fusing process to fuse the separated cathode material separated in the separating process following to addition of calcium to the separated cathode material.

12. The recovering process for valent metals from refuse secondary batteries according to claim 11, characterized in that the additional amount of calcium is in a range of from 1 to 50 parts by weight based on

100 parts by wieght of the cathode material.

13. The recovering process for valent metals from refuse secondary batteries according to claim 11, characterized in that the addition of calcium is carried out following to the fusing of the separated cathode material.

14. The recovering process for valent metals from refuse secondary batteries according to claim 11, characterized in that nickel is added into the separated cathode material as a starting metal in the fusing process.

15. The recovering process for valent metals from refuse secondary batteries according to claim 11, characterized in that the fusing process is taken place under an atmosphere of an inactive gas.

16. The recovering process for valent metals from refuse secondary batteries according to claim 11, characterized in that the separated cathode material is crushed and separated after subjecting the refuse secondary batteries to refrigeration with liquid nitrogen during the mechanical separation process.

17. The recovering system for valent metals from refuse secondary batteries <sup>of</sup> ~~according to~~ claim 2, characterized <sup>wherein</sup> ~~in that~~ the system <sup>includes</sup> ~~comprises~~ a fusing means to add calcium <sup>to</sup> ~~into~~ the separated cathode material separated by the separating means and ~~then~~ to fuse it to recover the valent metals.





refuse secondary batteries according to claim 1, characterized in that the process comprises a rare earth elements removing process to add an acid in an amount of 0.1-2.5 times equivalent based on the weight of the separated cathode material, which is required for dissolving the rare earth elements, in the separating process, a drying process to dry the cathode material from which the rare earth elements have been removed, and a fusing process to fuse the dried cathode material and then to recover the valent metals.

24. The recovering process for valent metals from refuse secondary batteries according to claim 23, characterized in that the oxidizing process to gradually oxidize the cathode material while bubbling air into the aqueous solution is constituted in a place prior to the drying process.

25. The recovering process for valent metals from refuse secondary batteries according to claim 23, characterized in that the fusing process is constituted with the addition of nickel as a starting metal into the material to be subjected to the fusing process.

26. The recovering process for valent metals from refuse secondary batteries according to claim 23, characterized in that the separated cathode material is one being crushed and separated by refrigerating the refuse secondary batteries with liquid nitrogen during the mechanical separation process.

27. The recovering system for valent metals from refuse secondary batteries according to claim 2, characterized in that the system comprises a rare earth elements removing means to remove rare earth elements after adding an acid in an amount of 0.1-2.5 times equivalent based on the weight of the separated cathode material separated by the separating means, which is required for dissolving the rare earth elements, a drying means to dry the separated cathode material from which the rare earth elements have been removed, and a fusing means to fuse the dried cathode material to recover the valent metals.

28. The recovering process for valent metals from refuse secondary batteries according to claim 1, characterized in that the process comprises a reduction fusing process to reduce and fuse the separated cathode material separated in the separating process after adding either carbon or plastic into the cathode material and a decarbonizing process to heat the separated cathode material under an oxidized atmosphere to oxidize and remove carbon contained in the valent metals either prior or following to the reduction fusing process, thereby recovering the valent metals.

29. The recovering process for valent metals from refuse secondary batteries according to claim 28, characterized in that the separated cathode material comprises a refuse anode material and refuse sintered nickel.

30. The recovering process for valent metals from refuse secondary batteries according to claim 28, characterized in that the process has a step of adding  $\text{CaF}_2$  in the decarbonizing process.

31: The recovering process for valent metals from  
refuse secondary batteries according to claim 28,  
characterized in that the oxidizing agent is either of  
oxygen or nickel oxide.

32. The recovering process for valent metals from refuse secondary batteries according to claim 28, characterized in that the separated cathode material is prepared by crushing and separation of the refuse secondary batteries following to the refrigeration of the refuse secondary batteries with liquid nitrogen during the mechanical separation process.

33. The recovering system for valent metals from refuse secondary batteries <sup>if</sup> according to claim 2, <sup>whereby</sup> characterized ~~in that~~ <sup>includes</sup> the system ~~comprises~~ a reduction fusing <sup>device</sup> means to reduce and fuse the separated cathode material separated by the separating <sup>device</sup> means after adding either carbon or plastic <sup>to</sup> ~~into~~ the cathode material and a decarbonizing <sup>device</sup> means to heat the separated cathode material under an oxidized atmosphere and to oxidize and remove carbon contained in the valent metals either prior or following ~~to~~ the reduction fusing process, thereby recovering the valent

34. ~~The recovering process for valent metals from~~

refuse secondary batteries according to claim 1,  
characterized in that the refuse secondary battery is any  
of nickel-hydrogen secondary battery, refuse nickel-  
hydrogen secondary battery and nickel-cadmium secondary  
battery.

35. The recovering process for valent metals from  
refuse secondary batteries according to claim 1,  
characterized in that the valent metal is mainly consisted  
of hydrogen-occluded alloy, spumous nickel, nickel-  
hydroxide and iron scrap.

36. The recovering process for valent metals from  
refuse secondary batteries according to claim 1,  
characterized in that the recovering process to recover  
valent metals is taken place under an atmosphere of an  
inactive gas.